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(54) **SYSTEMS AND METHODS FOR TABLES OF CONTENTS**

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G06F 17/00 (2006.01)
G06F 17/30 (2006.01)

(52) **U.S. Cl.**
CPC ***G06F 17/30327*** (2013.01); ***G06F 17/30011*** (2013.01); ***G06F 17/30722*** (2013.01)

(58) **Field of Classification Search**
None
See application file for complete search history.

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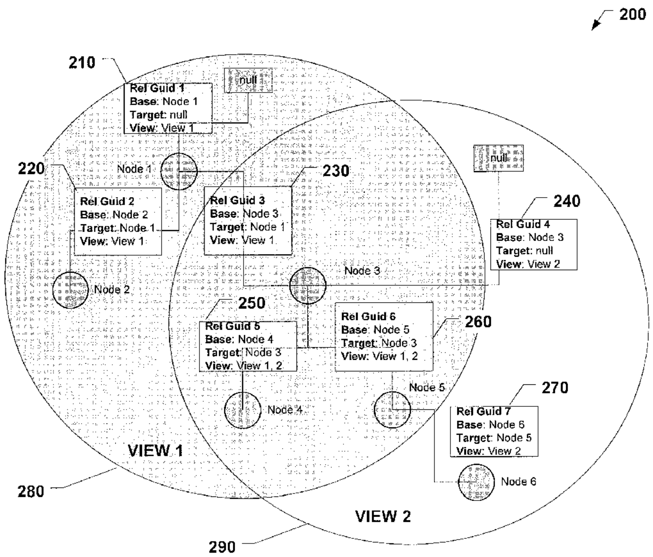
Primary Examiner — Hua Lu

(57) **ABSTRACT**

To address problems related to use and formation of tables of contents, the present inventors, devised among other things, an exemplary table-of-contents (TOC) data structure and related systems and methods. One exemplary method entails associating a node from one TOC with a node of another TOC and then assigning a unique identifier to the associated pair of nodes. The unique identifier can then be used to logically define another TOC that includes portions of the two original Tics. Another exemplary method entails tagging or associating nodes of a TOC with one or more view labels. (Identifiers for node relationships rather than the nodes themselves are tagged with the labels in some embodiments.)

20 Claims, 4 Drawing Sheets



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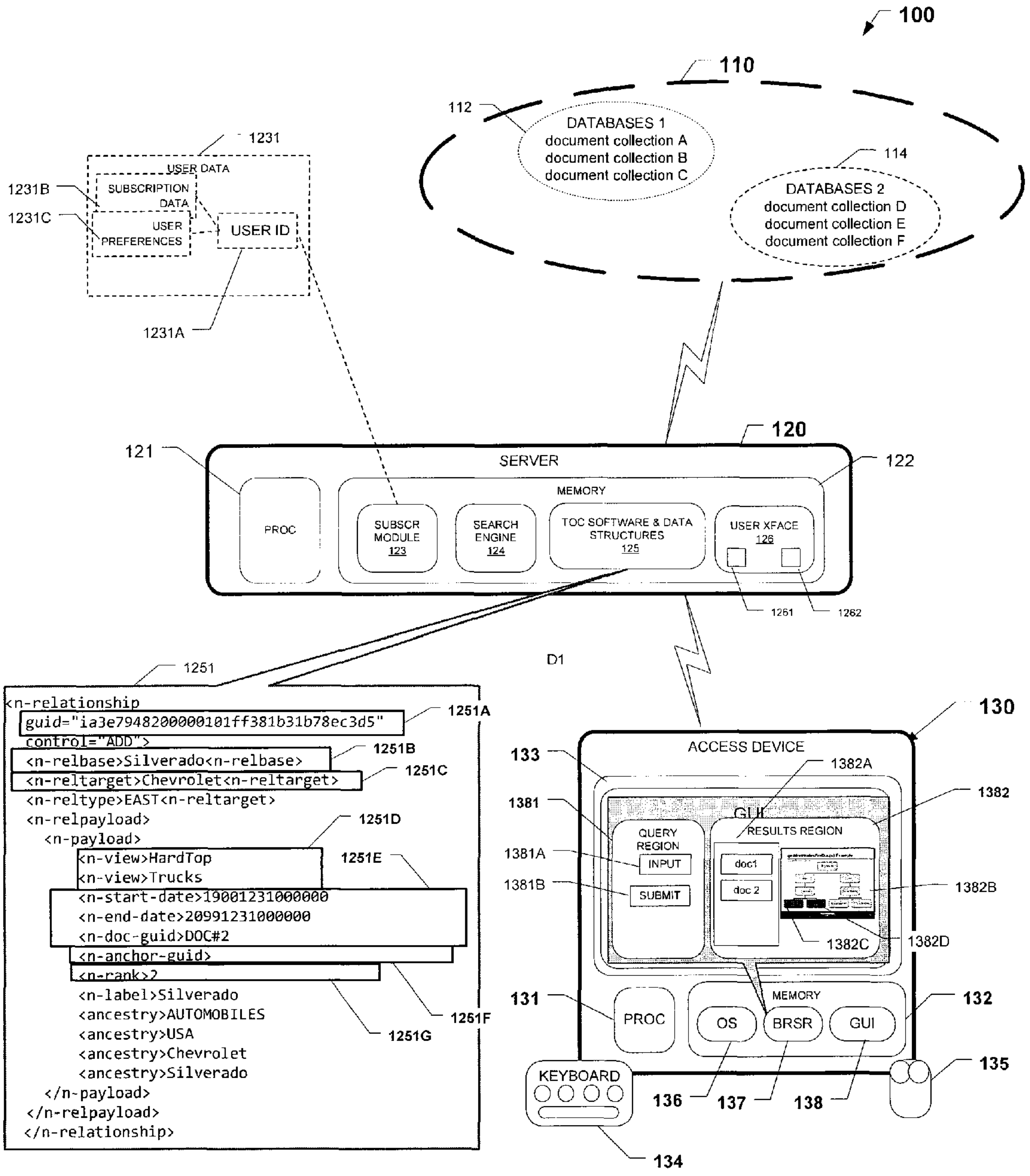

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  guid="ia3e7948200000101ff381b31b78ec3d5"
  control="ADD">
  <n-relbase>Silverado<n-relbase>
  <n-reltarget>Chevrolet<n-reltarget>
  <n-reltype>EAST<n-reltarget>
  <n-relpayload>
    <n-payload>
        <n-view>HardTop
        <n-view>Trucks
        <n-start-date>19001231000000
        <n-end-date>20991231000000
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        <n-anchor-guid>
        <n-rank>2
        <n-label>Silverado
        <ancestry>AUTOMOBILES
        <ancestry>USA
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        <ancestry>Silverado
    </n-payload>
  </n-relpayload>
</n-relationship>
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FIGURE 1

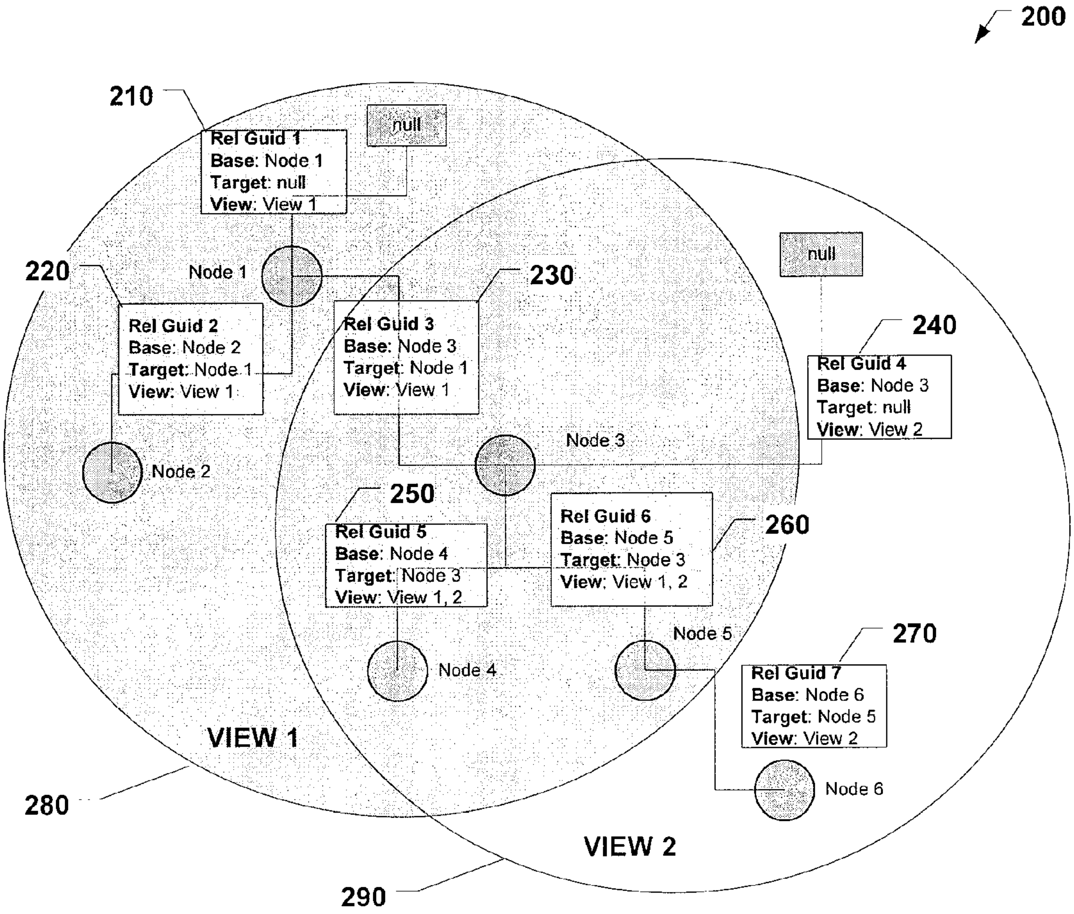

200
210
null
Rel Guid 1
Base: Node 1
Target: null
View: View 1
220
Node 1
Rel Guid 2
Base: Node 2
Target: Node 1
View: View 1
230
Rel Guid 3
Base: Node 3
Target: Node 1
View: View 1
null
240
Rel Guid 4
Base: Node 3
Target: null
View: View 2
Node 2
Node 3
250
Rel Guid 5
Base: Node 4
Target: Node 3
View: View 1, 2
Rel Guid 6
Base: Node 5
Target: Node 3
View: View 1, 2
260
Node 5
270
Node 4
Rel Guid 7
Base: Node 6
Target: Node 5
View: View 2
VIEW 1
Node 6
280
VIEW 2
290

FIGURE 2

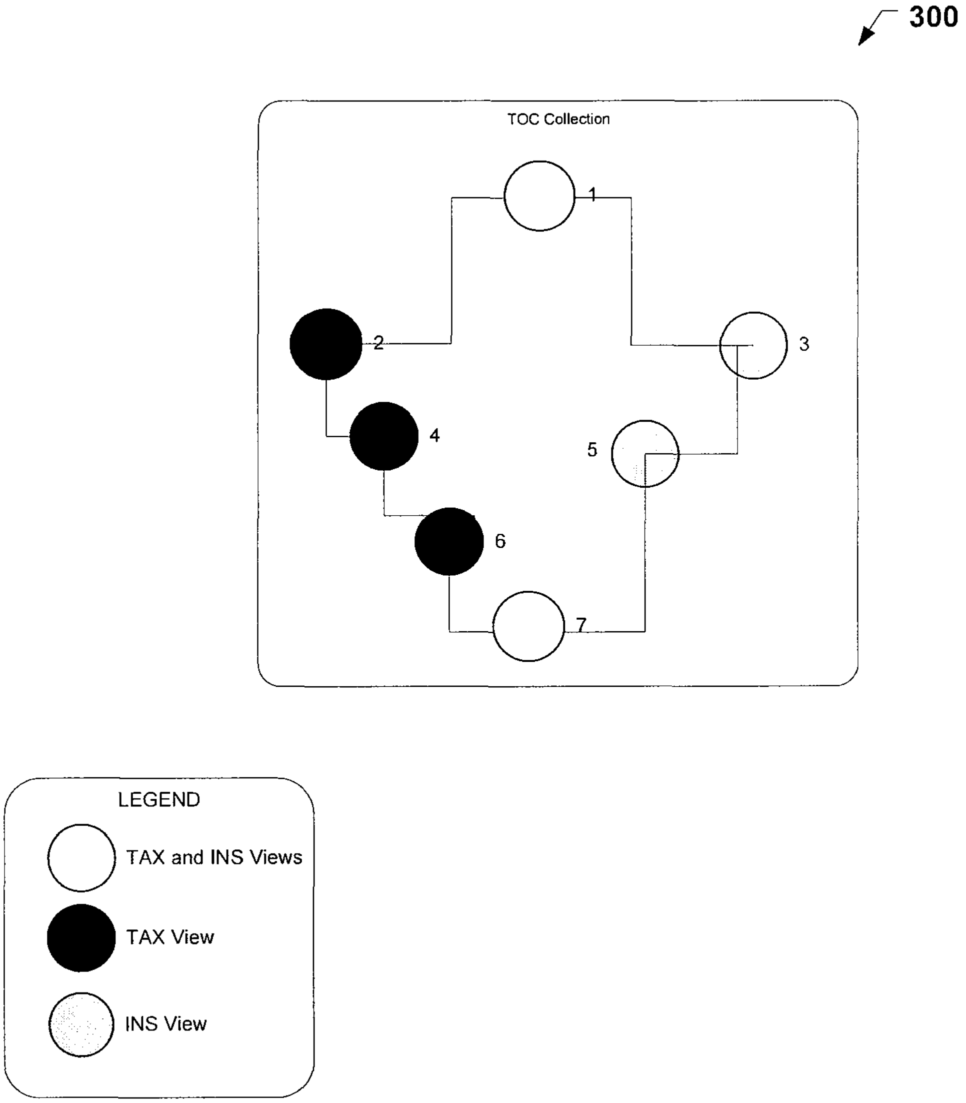
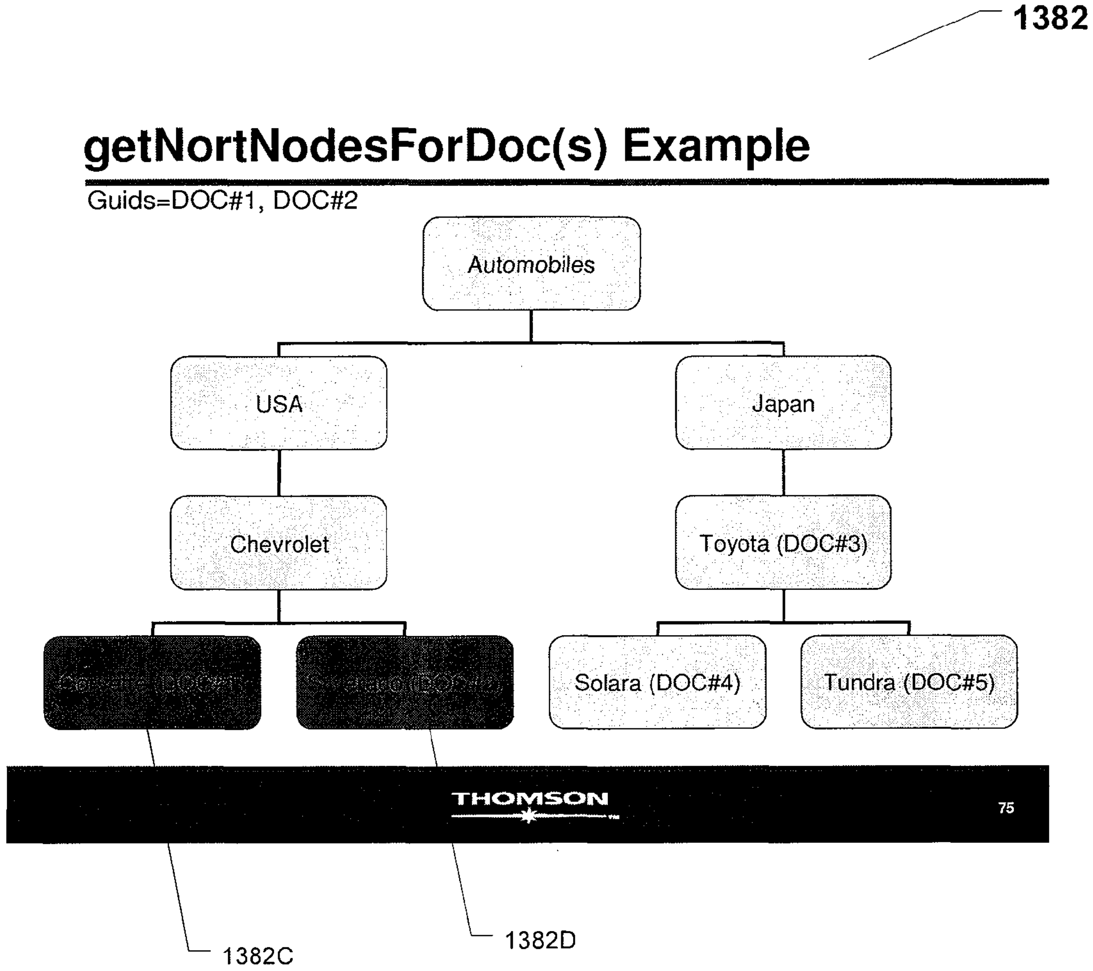

300
TOC Collection
1
2
3
4
5
6
7
LEGEND
TAX and INS Views
TAX View
INS View

FIGURE 3



1382
getNortNodesForDoc(s) Example
Guids=DOC#1, DOC#2
Automobiles
USA
Japan
Chevrolet
Toyota (DOC#3)
Corvette (DOC#1)
Silverado (DOC#2)
Solara (DOC#4)
Tundra (DOC#5)
THOMSON
75
1382C
1382D

FIGURE 4

SYSTEMS AND METHODS FOR TABLES OF CONTENTS

RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 12/415,909, filed on Mar. 31, 2009, which issued as U.S. Pat. No. 8,600,942, which claims priority to U.S. Provisional Patent Application 61/072,577, which was filed on Mar. 31, 2008, all of which are incorporated herein by reference in their entirety.

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TECHNICAL FIELD

Various embodiments of the present invention concern representation and usage of object relational trees, such as tables of contents, in large document repositories and online research services.

BACKGROUND

The Westlaw research system is a popular online service that provides lawyers and other professionals a highly effective way to research legal, financial, business, and news information, for example. The system, vast in its scope, includes thousands of specialized databases. In many instances, the databases include topical collections of documents that are associated with tables of content (TOCs) or hierarchical tree-like data structures, which facilitate navigation and browsing of the document collections.

The present inventors have recognized one or more problems with this conventional way of organizing content along topical lines using TOCs. For example, one problem is that users often have a desire for content that spans across two or more topical collections, and thus two or more corresponding TOCs. Yet, the TOCs are organized and accessed separately from each other, leaving users the chore of navigating separate TOCs to find the information they need. It is possible to build a new TOC that combines the two or more TOCs; however, this is time-consuming and inefficient, when one considers the number of combined topics that would be desirable for users.

Accordingly, the present inventors identified a need for improved ways of using TOCs.

SUMMARY

To address this and/or one or more other needs, the present inventors, devised among other things, an exemplary table-of-contents (TOC) data structure and related systems and methods. One exemplary method entails associating a node from one TOC with a node of another TOC and then assigning a unique identifier to the associated pair of nodes. The unique identifier can then be used to logically define another TOC that includes portions of the two original TOCs. Another exemplary method entails tagging or associating nodes of a TOC with one or more view labels. (Identifiers for node relationships rather than the nodes themselves are tagged with the labels in some embodiments.)

The view labels enable combining multiple topical TOCs together to define new hybrid TOCs and document collections without duplicating the underlying documents. For example, a collection of insurance documents and a collection of tax documents can be selectively combined by tagging various nodes of their respective TOCs with the same view tag or label, enabling all the nodes with the tags and/or their associated documents to be referenced and navigated.

BRIEF DESCRIPTION OF DRAWINGS

FIG. **1** is a block diagram of an exemplary information-retrieval system **100** which corresponds to one or more embodiments of the present invention.

FIG. **2** is a block diagram of an exemplary table-of-contents TOC (or object relational tree) data structure corresponding to one or more embodiments of the present invention.

FIG. **3** is a block diagram of an exemplary table-of-contents TOC (or object relational tree) data structure corresponding to one or more embodiments of the present invention.

FIG. **4** is a block diagram of an exemplary graphical user interface corresponding one or more embodiments of the present invention.

DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENT(S)

This document describes one or more specific embodiments of an invention. These embodiments, offered not to limit but only to exemplify and teach the invention, are shown and described in sufficient detail to enable those skilled in the art to implement or practice the invention. Thus, where appropriate to avoid obscuring the invention, the description may omit certain information known to those of skill in the art.

U.S. Pat. No. 7,085,755 is incorporated herein by reference.

Exemplary Definitions

The description includes many terms that are defined by industry usage and/or specific context. As an aid to understanding the exemplary embodiments, the following exemplary definitions are provided:

Term	Definition
Domain	A domain is a logical grouping of collections in which node relationships are stored. While the domain is the entry point for a product to retrieve content, collections within the domain allow Publishing to manage, group and

-continued

Term	Definition
	load relationships according to logical Publishing processes and functions. A specific collection can belong to and reside in only one domain.
Node	A TOC record in the database. The name "node" is derived from the fact that a TOC is a tree data structure, and each record is called a "node" of the tree.
Relationship Base	A required identifier for the relationship. Used to retrieve relationships. Corresponds to a "child".
Relationship Id	A valid GUID that uniquely identifies each relationship. Each relationship must have a unique Relationship Id GUID. This field has a 33 character maximum.
Relationship Target	Corresponds to a "parent".
Root Node	A node in the TOC tree that has no parent node (relationship target).
Search Summarization	Search feature that allows user to summarize a search result based on a selected summary field. Can be used with TOC to provide a hierarchical summarization when a TOC node GUID is the summary field item (summarization would compute the number of found documents under TOC nodes). Implemented within TOC as a performance improvement over TOC with Hits.
Sibling	Child nodes that share a common parent node.
Sibling Rank	A numeric value assigned to siblings to provide order to those siblings.
TOC	Table of Contents
TOC with Hits	The functionality in TOC retrieval that adds search hit information to nodes that are returned in TOC API call result (the "non summarized" way). This is done by passing a SearchResult into the API call.
TOC of TOCs	A grouping of multiple TOCs which when viewed individually have individual hierarchies (a subset of the full TOC) but also join together to provide a master TOC.
Versioned TOC	TOC content whose nodes are assigned a date range in which they are valid. All API calls that retrieve versioned TOC nodes must specify a date/timestamp to tell Novus which nodes to return.
View Property	An element value specified within the Payload which when applied serves as a filtering mechanism to access different slices of content. Also referred to as "view". The resulting structure must be a well defined hierarchical tree containing a single path to a root node.

Exemplary Information-Retrieval System

FIG. **1** shows an exemplary online information-retrieval (or legal research) system **100**. System **100** includes one or more databases **110**, one or more servers **120**, and one or more access devices **130**.

Exemplary Databases

Databases **110** include database sets **112** and **114**. Database set **112** includes topical document collections A, B, and C, and database set **114** includes topical document collections D, E, and F. In some embodiments, one or more of the document collections include judicial opinions and statutes from one or more local, state, federal, and/or international jurisdictions. Other embodiments may contain tax, accounting, and/or insurance documents.

Databases **110**, which take the exemplary form of one or more electronic, magnetic, or optical data-storage devices, include or are otherwise associated with respective indices (not shown). Each of the indices includes terms and phrases in association with corresponding document addresses, identifiers, and other conventional information. Databases **110** are coupled or couplable via a wireless or wireline communications network, such as a local-, wide-, private-, or virtual-private network, to server **120**.

Exemplary Server

Server **120**, which is generally representative of one or more servers for serving data in the form of webpages or other markup language forms with associated applets, remote-invocation objects, or other related software and data structures to service clients of various "thicknesses." More particularly, server **120** includes a processor module **121**, a memory module **122**, a subscriber module **123**, a search module **124**, a table-of-contents (TOC) software and data module **125**, and a user-interface module **126**.

Processor module **121** includes one or more local or distributed processors, controllers, or virtual machines. In the exemplary embodiment, processor module **121** assumes any convenient or desirable form.

Memory module **122**, which takes the exemplary form of one or more electronic, magnetic, or optical data-storage devices, stores subscriber module **123**, search module **124**, table-of-contents (TOC) software and data module **125**, and user-interface module **126**.

Subscriber module **123** includes subscriber-related software and data for controlling, administering, and managing pay-as-you-go or subscription-based access of databases **110**. In the exemplary embodiment, subscriber database **123** includes one or more user preference (or more generally user) data structures, of which data structure **1231** is generally representative. In the exemplary embodiment, data structure **1231** includes a user identifier portion **1231**A, subscription data portion **1231**B, and user preference portion **1231**C. User identifier portion **1231**A includes a unique user identifier. Subscription data portion **1231**B includes administrative, accounting, and/or security related information, such as usernames and passwords, billing information, contact information, etc. User preference information portion **1231**C includes one or more aspects of the user data structure relate to user customization of various search and interface options, such as jurisdiction of practice, area of practice, etc. In some embodiments, this portion may include user defined preferences such as most-frequently accessed databases or topical document collections, and even user-defined combinations of document collections.

Search module **124** includes one or more search engines and related user-interface components, for receiving and processing user queries against one or more of databases **110**. In the exemplary embodiment, one or more search engines associated with search module **124** provide Boolean, tf-idf, natural-language search capabilities.

TOC software and data module **125** includes machine readable and/or executable instruction sets and data for implementing various TOC related functionality. In the exemplary embodiment, the data includes a set of tables of contents TOCs for topical data collections contained within databases **110**. Each TOC itself includes a set of relationship records, of which TOC data structure or record **1251** is generally representative. TOC data structure **1251** includes records which define relationships between nodes within tables of contents or between two separate tables of contents.

More specifically, TOC data structure **1251** includes a relationship guid (global unique ID) portion **1251**A, a child (or base) node guid portion **1251**B, a target (or parent) node guid portion **1251**C, a view label or tag portion **1251**D, a date-range portion **1251**E, an anchor guid portion **1251**F, and a node rank indicator portion **1251**G.

Relationship guid portion **1251**A includes a unique identifier for a particular parent-child relationship defined by base guid portion **1251**B and target guid portion **1251**C, which include respective child node and parent node guids. View label portion **1251**D includes content, such as a text labels "hardtop" and "trucks" that can be used in filtering views of the hierarchy. Date-range portion **1251**E includes a start date and an end date which define an effective temporal window for the associated parent-child relationship. Node rank indicator portion **1251**G provides a rank indicator for the associated child node **1251**B, which is used in ordering multiple child (sibling) nodes for display or navigation.

FIG. **2** shows a TOC tree structure **200** which is representative of one or analogous structures within memory **122**. Tree structure **200** includes nodes **1**-**6** and is defined by several TOC data structures **210**-**270**. Each of the TOC data structures includes a relationship guid portion (Rel Guid), a base or child guid portion (Base), a target or parent guid (Target) portion, and a view tag portion (View). As shown, the view tags portions define two views of the TOC, a view **280** (view **1**) and a view **290** (view **2**). The TOC tree (in blue) is defined by the relationships (in yellow). A node exists in a given view if there is a relationship in that given view with that particular node as a base or child node. In the example, Node **3** is in view **280** and view **290** because there is a relationship from Node **3** to Node **1** for View **1** and a relationship from Node **3** to NULL for View **2**. Node **3** has a relationship to NULL in View **2** because Node **3** is a root node in View **2**.

FIG. **3** shows a TOC tree structure **300** which is representative of one or analogous data structures within memory **122**. Tree structure **300** includes nodes **1**-**7** which are associated with view tags. The figure depicts the view tag association by color coding the nodes: nodes **1** and **7** are white to denote a joint TAX and INS (insurance) view tag for these nodes; nodes **2**, **4**, **6** are black to denote a TAX view; and nodes **3** and **5** are gray to denote an INS view. In this example, one could navigate to node **7** from either the TAX or INS (insurance) views. From the data model, node **7** has multiple parents, but given a view it has unique ancestors.

Additionally, TOC software and data module **125** includes instruction sets for supporting navigational features, such as get root node, get parent and child nodes, retrieve nodes that reference a document, get next and previous document. Additionally, the exemplary embodiment provides a function for returning the nodes from a search result. The exemplary embodiment also provides for versioning of TOCs through the elements <n-start-date> and <n-end-date>, and the search function accepts a timestamp value that is honored during TOC retrieval. Only nodes whose date range encompasses the timestamp of the request will be returned.

The exemplary embodiment also provides TOC with Hits using search summarization to improve online performance for display of the hierarchy with hit counts associated with each node, that is non-terminal node. In some embodiments content owners set up an <n-tocview> element in the document metadata as the summarized content. However, the exemplary embodiment allows any element name to be used for summarization. In addition, the searchable content and summarized content need not be in a document at all—it can be in the payload itself. For TOC with Hits, some embodiments use whatever summarized information is in the search result to determine the hit counts for the TOC nodes.

User-interface module **126**, in FIG. **1**, includes machine readable and/or executable instruction sets for wholly or partly defining web-based user interfaces, such as search interface **1261** and results interface **1262**, over a wireless or wireline communications network on one or more accesses devices, such as access device **130**.

Exemplary Access Devices

Access device **130** is generally representative of one or more access devices. In the exemplary embodiment, access device **130** takes the form of a personal computer, workstation, personal digital assistant, mobile telephone, or any other device capable of providing an effective user interface with a server or database. Specifically, access device **130** includes a processor module **131**, a memory **132**, a display **133**, a keyboard **134**, and a graphical pointer or selector **135**.

Processor module **131** includes one or more processors, processing circuits, or controllers. In the exemplary embodiment, processor module **131** takes any convenient or desirable form. Coupled to processor module **131** is memory **132**.

Memory **132** stores code (machine-readable or executable instructions) for an operating system **136**, a browser **137**, and a graphical user interface (GUI) **138**. In the exemplary embodiment, operating system **136** takes the form of a version of the Microsoft Windows operating system, and browser **137** takes the form of a version of Microsoft Internet Explorer. Operating system **136** and browser **137** not only receive inputs from keyboard **134** and selector **135**, but also support rendering of GUI **138** on display **133**. Upon rendering, GUI **138** presents data in association with one or more interactive control features (or user-interface elements). (The exemplary embodiment defines one or more portions of interface **138** using applets or other programmatic objects or structures from server **120**.)

More specifically, graphical user interface **138** defines or provides one or more display regions, such as a query or search region **1381** and a search-results region **1382**. Query region **1381** is defined in memory and upon rendering includes one or more interactive control features (elements or widgets), such as a query input region **1381**A, a query submission button **1381**B. Search-results region **1382** is also defined in memory and upon rendering on the display presents results in a list view **1382** and/or in a hierarchical view **1382**B. List view **1382**A includes a list of documents from databases **110**, including doc**1** and doc**2**, identified by server

120 in response to a query. Hierarchical view **1382**B presents a table of contents, highlighting the corresponding lowest nodes associated with documents doc**1** and doc**2**, in this case respective nodes **1382**C and **1382**D. In the exemplary embodiment, the nodes are determined by searching TOC data structure in server **120** for those relationships (or nodes) that are associated with document guids corresponding to docs **1** and **2**.

FIG. **4** shows an enlarged version of hierarchical view or interface window **1382**B. A user can select (for example by clicking) any node shown in the hierarchical view and navigate into the portion of the document collection corresponding that node. Additionally, some embodiments provide a count of documents associated with each displayed node of the hierarchy. One benefit of the hierarchical display is that the user can gain sense of how targeted or focused the query was relative to the contents of topical collection. For example, if the search result yield hits dispersed over a wide variety of nodes in the hierarchy, the query may have been too broad. On the other hand, if the results are concentrated in a specific area of the hierarchy, it may have been too narrow or just right.

Some embodiments may even provide a "zoom" capability to allow the user to zoom into a more granular view of the hierarchy or zoom out for less granular view. These and/or other embodiments may also provide control features such as a date entry box or a timeline slide (analogous to a scroll feature) that allows a user to filter the displayed hierarchical view based on time, by entering the time or sliding a button along a scale. Still, other embodiments present buttons with corresponding temporal labels to allow a user to simply select the time period which is of interest. This feature would be particularly effective for time view of organizational chart data, for example.

Back in FIG. **1**, each identified document in region **1382** is associated with one or more interactive control features, such as hyperlinks, not shown here. User selection of one or more of these control features results in retrieval and display of at least a portion of the corresponding document within a region of interface **138** (not shown in this figure.) Although the Figure shows query region **1381** and results region **1382** as being simultaneously displayed, some embodiments present them at separate times. Similarly, the list view and hierarchical view may viewed simultaneously or separately.

CONCLUSION

The embodiments described above and in the claims are intended only to illustrate and teach one or more ways of practicing or implementing the present invention, not to restrict its breadth or scope. The actual scope of the invention, which embraces all ways of practicing or implementing the teachings of the invention, is defined only by the issued claims and their equivalents.

What is claimed is:

1. A method of relating documents, the method comprising:

providing in a memory a first table-of-contents (TOC) data structure that includes a plurality of parent nodes and a plurality of child nodes, each child node being associated with one parent node to define a parent-child node pair for each combination of each child node and one parent node, and each child node further being associated with a document identifier that uniquely identifies a document in a document collection;

defining in the memory a second TOC data structure that includes a plurality of relationship records, each relationship record including a parent-child node pair of the first TOC data structure and a unique identifier that uniquely identifies a relationship of the parent-child node pair of the first TOC data structure; and

associating a rank indicator with each unique identifier in the second TOC data structure that indicates an order of each child node in the child-node pair of the first TOC data structure in relation to a sibling child node in another child-node pair in the first TOC data structure.

2. The method of claim **1**, further comprising:

associating at least one of a first view label and a second view label with each unique identifier.

3. The method of claim **1**, further comprising:

associating a time range with each unique identifier in the second TOC data structure that uniquely identifies each parent-child node pair in the first TOC data structure.

4. The method of claim **3**, further comprising:

in response to identification of a time, displaying a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion excludes a relationship record of a parent-child node pair that has a unique identifier in the second TOC data structure associated with the time range that does not encompass the time.

5. The method of claim **2**, further comprising:

in response to identification of the first view label, displaying a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion excludes a relationship record of a parent-child node pair that has a unique identifier in the second TOC data structure that is not tagged with the first view label.

6. The method of claim **1**, further comprising:

based on identified documents in the document collection, displaying a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion orders child nodes in the child-node pair of the first TOC data structure relating to the identified documents according to their rank indicators.

7. The method of claim **1**, further comprising including the second TOC data structure in the first TOC data structure.

8. A server to relate documents, the server comprising:

a memory configured to store a first table-of-contents (TOC) data structure that includes a plurality of parent nodes and a plurality of child nodes, each child node being associated with one parent node to define a parent-child node pair for each combination of each child node and one parent node, and each child node further being associated with a document identifier that uniquely identifies a document in a document collection;

processor connected to the memory, the processor configured to define in the memory a second TOC data structure that includes a plurality of relationship records, each relationship record including a parent-child node pair of the first TOC data structure and a unique identifier that uniquely identifies a relationship of the parent-child node pair of the first TOC data structure; and

associate a rank indicator with each unique identifier in the second TOC data structure that indicates an order of each child node in the child-node pair of the first TOC data structure in relation to a sibling child node in another child-node pair in the first TOC data structure.

9. The server of claim **8**, wherein the server is further configured to:

associate at least one of a first view label and a second view label with each unique identifier.

10. The server of claim **9**, wherein in response to identification of the first view label the server is configured to:

display a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion excludes a relationship record of a parent-child node pair that has a unique identifier in the second TOC data structure that is not tagged with the first view label.

11. The server of claim **8**, wherein the server is configured to:

associate a time range with each unique identifier in the second TOC data structure that uniquely identifies each parent-child node pair in the first TOC data structure.

12. The server of claim **11**, wherein in response to identification of a time the server is configured to:

display a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion excludes a relationship record of a parent-child node pair that has a unique identifier in the second TOC data structure associated with the time range that does not encompass the time.

13. The server of claim **8**, wherein based on identified documents in the document collection the server is configured to:

display a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion orders child nodes in the child-node pair of the first TOC data structure relating to the identified documents according to their rank indicators.

14. The server of claim **8**, wherein the server is configured to:

record the second TOC data structure in the first TOC data structure.

15. Non-transitory computer readable media comprising program code stored thereon for execution by a programmable processor to perform a method of relating documents, the computer readable media comprising:

program code for providing in a memory a first table-of-contents (TOC) data structure that includes a plurality of parent nodes and a plurality of child nodes, each child node being associated with one parent node to define a parent-child node pair for each combination of each child node and one parent node, and each child node further being associated with a document identifier that uniquely identifies a document in a document collection;

program code for defining in the memory a second TOC data structure that includes a plurality of relationship records, each relationship record including a parent-child node pair of the first TOC data structure and a unique identifier that uniquely identifies a relationship of the parent-child node pair of the first TOC data structure; and

program code for associating a rank indicator with each unique identifier in the second TOC data structure that indicates an order of each child node in the child-node pair of the first TOC data structure in relation to a sibling child node in another child-node pair in the first TOC data structure.

16. The computer readable media of claim **15** further comprising program code for associating at least one of a first view label and a second view label with each unique identifier.

17. The computer readable media of claim **15** further comprising program code for associating a time range with each unique identifier in the second TOC data structure that uniquely identifies each parent-child node pair in the first TOC data structure.

18. The computer readable media of claim **17**, in response to identification of a time, further comprising program code for displaying a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion excludes a relationship record of a parent-child node pair that has a unique identifier in the second TOC data structure associated with the time range that does not encompass the time.

19. The computer readable media of claim **16**, in response to identification of the first view label, further comprising program code for displaying a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion excludes a relationship record of a parent-child node pair that has a unique identifier in the second TOC data structure that is not tagged with the first view label.

20. The computer readable media of claim **15**, based on identified documents in the document collection, further comprising program code for displaying a hierarchical view of a portion of the first TOC data structure as part of a graphical user interface, wherein the displayed portion orders child nodes in the child-node pair of the first TOC data structure relating to the identified documents according to their rank indicators.

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